Art Unit: 2193

#### **DETAILED ACTION**

1. This action is responsive to the Applicant's response filed 5/9/2008.

As indicated in Applicant's response, claim 39 has been amended. Claims 23-44 are pending in the office action.

# Claim Rejections - 35 USC § 103

- 2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 3. Claims 23-26, 29-34, 37-39, 41-44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kuhn, USPN: 6,961,567 (hereinafter Kuhn)and further in view of Allor, USPubN: 20030226102 (hereinafter Allor)

**As per claim 23**, Kuhn discloses a mobile terminal for use in a wireless telecommunications system comprising:

a mobile terminal; a mobile terminal platform domain (e.g. Fig. 1) having a software services component for providing functionality, said software services component in the form of software instructions adapted to be loaded and stored in a computer readable medium (col. 4, lines 2-6) and executed by a processor of the mobile terminal,

the mobile terminal platform domain further having an interface component having at least one interface for providing access to the functionality of the software services component for enabling an application domain software to be loaded and run in said mobile terminal platform via said at least one interface (e.g. *icon*, *launch* ... *interaction*, *perform tasks*, *number of* 

Art Unit: 2193

screens - col. 5, lines 24-45), said interface component in the form of software instructions adapted to be loaded and stored in a computer readable medium (col. 5, line 65 to col. 6, line 6) and executed by a processor; and

plug-in software in the form of software instructions adapted to be loaded and stored in a computer readable medium and executed by the processor of the mobile terminal for use by the application domain software for modifying the functionality of the software services component (e.g. plug-in 204 – Fig. 2; *deactivation* – col. 6, lines 31-47, lines 57-65; *switch between* ... *carriers; changing computer's compatibility for different carrier* ... *providers* – col. 7, lines 29-61) of the mobile terminal platform domain via the at least one interface.

But Kuhn does not explicitly disclose an interface component for enabling application software to be installed. Kuhn's HTTP application (see Fig. 3, 5A-B and related text) is depicted with extensive use of plug-in being invoked for setting features, downloading (see *registration file 208* – Table 1, col 8, col. 9, lines 1-25) and detecting error conditions (see col. 6, lines 57-65) with regard to a service provider type of activation. This Web-based remote activation is further enhanced with the analogous use of plug-in by Allor, according to which, a extensible tag functionality from a plug-in module can be used to support installation of components via callback information in a browser interface (installation – para 0048, pg. 5). It would have been obvious for one skill in the art at the time the invention was made to implement the browser environment plug-in by Kuhn so that the plug-in can support validating and warning of errors in support of **installation** of components for a particular provider domain application, based on, for example, Allor's teachings in using plug-in tag indicator and callback information; because embedded content or browser functionality in Kuhn's provider-specific Web services can be

installed and would be deployed using the ready-to-use or provided plug-in for such browsers, alleviating thereby resources that would require additional developing of program native code, such that extensibility of browser capabilities and content display had been known to be supported by ready-to-use plug-ins (see Allor, pg. 1, para 0004-0005)

Page 4

**As per claim 24**, Kuhn discloses wherein said at least one interface comprises an application programming interface (e.g. API's – col. 5, lines 55-64).

As per claims 25-26, Kuhn discloses wherein said plug-in software comprises software residing in a domain of said application software (e.g. particular carrier ... service provider – col 6, lines 50-65) and that uses the functionality of at least one of the platform domain (col. 7, lines 13-49) and other plug-in software (e.g. *plug-in* ... *compatible with each other* – col. 7, lines 50-60; col. 6, lines 50-56);

wherein said plug-in software complies with a same paradigm as provided services (e.g. col. 6, lines 7-16; carrier and service ... intended for that plug-in – col. 4, line 56 to col. 5, line 4) exported by said application programming interface.

As per claims 29-30, Kuhn discloses wherein said plug-in software includes a plurality of plug-in software modules (refer to claim 23); wherein said plug-in software includes plug-in software defining a set of graphical objects and utilities for defining a particular aspect of the environment, i.e. locality or language specific parameters (e.g. language – col. 7, lines 29-49; changing computer's compatibility for different carrier ... providers - col. 7, lines 29-61; language – col. 7, lines 29-49). But Kuhn does not disclose that such environment parameters being modified are for looks and feel. But based on such ability to customize the mobile device

environment by Kuhn, it would have been obvious for one skill in the art at the time the invention was made to implement Kuhn's plug-in so that these plug-in can also enable the user to modify the looks and feels of the browser in which the plug-in operate to rectify discrepancies that would arise from incompatible locality or platform within which Kuhn's Web applications operate or are graphically rendered.

**As per claim 31**, Kuhn discloses wherein said platform domain comprises a platform for a mobile terminal for a wireless telecommunications system ( refer to claim 23).

As per claim 32, Kuhn discloses a method for use in a mobile terminal, comprising: providing a mobile terminal platform domain having a software services component for providing functionality, said software services component in the form of software instructions adapted to be loaded and stored in a computer readable medium and executed by a processor in the mobile terminal;

providing an interface component in said mobile terminal platform domain having at least one interface for providing access to the functionality of the software services component for enabling an application domain software to be loaded and run in said mobile terminal platform via said at least one interface, said interface component in the form of software instructions adapted to be loaded and stored in a computer readable medium and executed by the processor of the mobile terminal;

providing plug-in software in the form of software instructions adapted to be loaded and stored in a computer readable medium and executed by the processor of the mobile terminal and together with the application software for modifying the functionality of the software services

Art Unit: 2193

component of the mobile terminal platform domain via the at least one interface; and modifying the functionality of the software services component via said plug-in software;

all of which limitations having been addressed in claim 23.

But Kuhn does not explicitly disclose an interface component for enabling application domain software to be installed. However, this limitation has been addressed in claim 23.

As per claims 33, 34, these claims include respectively the subject matter of claims 25-27; hence incorporate the corresponding rejection as set forth therein.

As per claim 37, this claim will incorporate the same rationale as set forth in claim 30.

**As per claim 38**, Kuhn discloses a end user being a manufacturer product end user for extending or modifying functionality of said platform ( see claim 33; Fig 1-2).

As per claim 39, Kuhn discloses manufacturer contributing in creating hardware to embody the GARF framework (col. 5, lines 8-19) the plug-in aspect of which operable to modification in order to accommodate language specific provider or network (col. 7 lines 6-49) of various countries. Based on the changes needed to provide plug-ins for different continents or country (US, France), and providing of a original manufacture plug-ins to that effect, it would have been obvious for one skill in the art at the time the invention was made to allow the country-specific division of the GARF manufacture to install, readjust certain country-related specifications needed for using this original basic plug-in in a geographical area, so that the plug-in can be usable by operators for the area or country because this manufacturer's pre-setting or extending features of this plug-ins would equip the delivered GARF product with the adjusted basics for a country-specific end-users, enabling them to interact with the very service providers

covering the geo-economical context and language implications germane to that country, as contemplated by Kuhn from above.

As per claims 41 and 44, Kuhn discloses wherein said step of modifying the functionality comprises adding or removing functionality to said software services component of said platform (*deactivation* - col. 6, lines 31-47, lines 57-65; *switch between* ... *carriers*; *changing computer's compatibility for different carrier* ... *providers* - col. 7, lines 29-61; step 405 – Fig 5A); wherein said step of modifying the functionality is performed by downloading at least one plug-in (refer to claim 23).

**As per claim 42**, Kuhn discloses a platform for a mobile terminal for a wireless telecommunications system (col. 7, lines 16-25).

**As per claim 43**, Kuhn discloses wherein said step of modifying the functionality is performed by downloading an application (see *registration file 208* – Table 1, col 8, col. 9, lines 1-25).

4. Claims 27-28, 35-36, and 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kuhn, USPN: 6,961,567 and Allor, USPubN: 20030226102, further in view of Stewart et al, USPubN: 2001/0039570 (hereinafter Stewart)

As per claims 27-28, Kuhn discloses browser based applications in the realm of mobile devices allowing user to receive files or email or various environmental resources from their being connected to their provider ( see col. 2, lines 26-35; col. 11, lines 18-30) with capability such as naming convention compliance (step 424, 434 – Fig. 5B) and provisioning with undesired-event handling compliance (see step 410, Fig. 5A; step 436 – Fig. 5B) but does not

Art Unit: 2193

explicitly disclose wherein said provided services include one or more of component model compliance, and message model compliance; wherein said message model includes a callback mode and a full message mode.

The paradigm using a mobile service provider with web communications allowing the mobile user to retrieve data, files and Email messages was a known concept (see Allor: para 0041, para 0045- pg. 4) at the time the invention was made. Based on Allor's message and call back information, it would have been obvious for one skill in the art at the time the invention was made to implement Kuhn's browser communication so that compliance to receiving application data from the network comprise callback-based messages or full message mode (see Allor – claim 23) because either mode can support the browser usability or modifying/extending its functionality according Allor's approach with its benefits as set forth in claim 1.

Likewise, Stewart, in a enterprise-based system using plug-in type of modules (Stewart: Fig. 7-9) to provide functionality to Web/HTTP mobile/PDA type of users (*wireless* – para 0278, pg. 16) like those in Kuhn or Allor, discloses wire-protocol and messaging capabilities for encapsulating business process/workflow in a HTTP request to implement a business transaction framework including compliancy to a model (e.g. para 0348, pg. 18), with message model compliancy of HTTP or SOAP (e.g. para 0349, pg. 18). Based on the well-known paradigm of HTTP, and Java-based client-server communications and middleware services between provider, database and end users, it would have been obvious for one skill in the art at the time the invention was made to implement the Web-based services communicated between the provider and the mobile platform as set forth by Kuhn and/or Allor, so that Web transactions (e.g. B2B) type application using mobile technology can be implemented according to a model framework

Application/Control Number: 10/665,834

Art Unit: 2193

with use of SOAP protocol and workflow model compliancy as taught by Stewart. One would be motivated to do so because Web transactions for supporting mobile technology at the time the invention was made for enabling data such as in Kuhn's paradigm transmission of resources or Web-based transaction or business (based on Stewart's model and messaging) to reach registered end users (like Kuhn's and Allor's) for enabling customizing of a transaction via utilizing the extensibility of the model support and messaging protocol as set forth above (refer to claim 27).

Page 9

As per claims 35, 36, these claims include respectively the subject matter of claims 27, 28; hence incorporate the corresponding rejection as set forth therein.

As per claim 40, Kuhn does not explicitly disclose wherein said step of modifying the functionality is performed by a third party contracted to change the functionality. But based on the rationale as set forth in claim 26, middleware services for collaborating workflow in various type of Web-based transactions involving provider, SOAP interface, COM/Corba middle-tiers, trading partners and interconnecting services with RDBMs has been taught as well-known by Stewart (see Fig. 1-2, 4, 8-25) according to which modeling framework and messaging are instrumental for allowing the provider-based web users to extend the client environment application. Based on the concept of third party (see Stewart: COM, Corba - para 0075, pg. 6) for collaborating different tiers and partners in a B2B workflow applications, it would have been obvious for one skill in the art at the time the invention was made to enable Kuhn's mobile device network to use the third party services with capabilities to modify message/extensible data (Java, XML or SOAP protocol) and reroute application data to other collaborating trade partners or servers, in order to achieve this multi-partners business-to-business type of workflow or model-based process in form of application extension as purported in Kuhn network.

Art Unit: 2193

## Response to Arguments

5. Applicant's arguments filed 5/9/08 have been fully considered but they are not persuasive. Following are the Examiner's observation in regard thereto.

### 35 USC § 103 Rejection:

- (A) Applicants have submitted that there is motivation to combine Kuhn with Allor (Appl. Rmrks, pg. 10, 2<sup>nd</sup> para). Applicants fail to effectively show how implementing Kuhn's plug ins to that the teachings by Allor can enhance Kuhn's in terms of allowing installation using the functionality of said plug-ins; but rather states a lack of 'motivation' in the obviousness rationale of the Office Action. Allegation without proper evidence to corroborate to what has been alleged amounts to a insufficient grounds to overcome the obvious rejection because simple allegation not accompanied with factual proofs from Applicant's Response can not be deemed sufficient to dismiss a legal conclusion effected by the Examiner under the statute and very foundation of the USC 103 Rationale.
- (B) Applicants turn to Kuhn's deficiency in fulfilling claims 23 or 32 by submitting that Kuhn does not disclose a mobile terminal platform domain within a mobile terminal, the platform 'having an interface for providing access ... for enabling domain software ... to be loaded and run ... via said interface' (Appl. Rmrks pg. 10, bottom). What appears to be platform domain as recited in the claim has been interpreted as 'having interface for providing access ... loaded and run via said interface'; and Kuhn's cited portions have been used to match each of the aspect of the interpreted language. Regarding which, Applicants further argued that the cited portions or functionality of Kuhn's elements cannot be equated to those of the invention because in Kuhn, the interface or functionality is not confined within the mobile terminal (Appl. Rmrks

Art Unit: 2193

pg. 12, top, middle) but spread or across hardware domains. The claim does not provide a single reasonable feature that would necessarily enforce that using the so-recited interface (of the terminal device), interactions outside of the terminal device would be precluded. Applicant's arguments fail to comply with 37 CFR 1.111(b) because they amount to a general allegation that the claims define a patentable invention without specifically pointing out how the language of the claims patentably distinguishes them from the references. The language recited as 'modifying the functionality of the software services component of ... terminal platform ... via the at least one interface' cannot be viewed as a action of prohibiting any interaction with the network or hardware environment outside the terminal device simply because 'modifying' takes place on the terminal platform by way of an interface.

(C) Applicants have submitted that Kuhn does not teach interface component executed by a processor, and that plug-in software are instructions to be loaded and stored, and executed by the processor of the terminal (Appl. Rmrks pg 12 bottom). It is deemed that Kuhn's plug-in when identified and activated within the terminal for use in modifying application level and establishing registration with a provider is considered having been loaded, stored and executed by the application engine of the processor environment of that terminal. The argument is non-persuasive because it fail to point how Kuhn's plug in is not executable, not loaded, not stored not executed by any engine. The argument (Appl. Rmrks pg. 14) that Kuhn functionality occur across multiple hardware domains or nodes has been addressed above.

The claims in all stand rejected as set forth in the Office Action.

#### Conclusion

Art Unit: 2193

6. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Tuan A Vu whose telephone number is (571) 272-3735. The

examiner can normally be reached on 8AM-4:30PM/Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Lewis Bullock can be reached on (571)272-3759.

The fax phone number for the organization where this application or proceeding is

assigned is (571) 273-3735 (for non-official correspondence - please consult Examiner before

using) or 571-273-8300 (for official correspondence) or redirected to customer service at 571-

272-3609.

Any inquiry of a general nature or relating to the status of this application should be

directed to the TC 2100 Group receptionist: 571-272-2100.

Information regarding the status of an application may be obtained from the Patent Application

Information Retrieval (PAIR) system. Status information for published applications may be

obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Tuan A Vu/

Primary Examiner, Art Unit 2193

June 16, 2008

Application/Control Number: 10/665,834

Page 13

Art Unit: 2193